

PAGE 04/35  
RECEIVED  
CENTRAL FAX CENTER  
JUN 29 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Bradley J. Swearingen et al.  
Serial No.: 09/663,151  
Filed: September 15, 2000  
Art Unit: 3624  
Examiner: Narayanswamy Subramanian  
For: Method and System for Executing Trades  
in a User Preferred Security

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Response Under 37 C.F.R. §1.116

Dear Sir:

This is a response to the Office Action dated April 6, 2005. In view of the following remarks, reconsideration and withdrawal of the Examiner's rejection of the pending claims in this application is respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 24 of this paper.